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| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> | Atty. Docket No.: 117-P-1380USC3                      | Serial No.: unknown          |
|   | Applicant(s): Minyu Li and<br>Keith Darrell Lokkesmoe | Confirmation No.:<br>unknown |
|   | Filing Date: herewith                                 | Group: unknown (764)         |

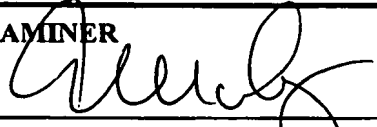
**U.S. PATENT DOCUMENTS**

| Examiner<br>Initial | Document Number | Date    | Name              | Class | Subclass | Filing Date If<br>Appropriate |
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| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |                                   |

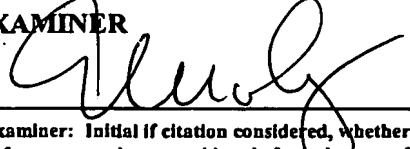
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|   | Filing Date: herewith                                 | Group: unknown               |

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| <b>EXAMINER</b><br>  | <b>Date Considered</b><br>4-30-07 |
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| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> | Atty. Docket No.: 117-P-1380USC3                      | Serial No.: unknown          |
|   | Applicant(s): Minyu Li and<br>Keith Darrell Lokkesmoe | Confirmation No.:<br>unknown |
|   | Filing Date: herewith                                 | Group: unknown               |

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|   | Filing Date: herewith                                 | Group: unknown               |

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| Examiner<br>Initial | Document Number | Date       | Country       | Class | Subclass | Translation   |    |
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|                     |                 |            |               |       |          | Yes           | No |
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| EXAMINER<br><i>[Signature]</i> | Date Considered<br><i>4-30-07</i> |
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|   | Filing Date: herewith                                 | Group: unknown               |

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| Examiner<br>Initial | Document Description   |
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| <b>EXAMINER</b><br><i>[Signature]</i> | <b>Date Considered</b><br><i>4-30-07</i> |
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Page 1 of 1

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| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> | <b>Atty. Docket No.:</b> 117-P-1380USC3 | <b>Serial No.:</b> 10/715,575 |
|   | <b>Applicant(s):</b> Minyu Li et al.    |                               |
|   | <b>Filing Date:</b> November 18, 2003   | <b>Group:</b> 3651            |

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|-----------------------|---------------|-----------------|---------|--------------------|-------|----------|----------------------------|
| Examiner Initial      | Copy enclosed | Document Number | Date    | Name               | Class | SubClass | Filing Date If Appropriate |
| <i>GLH</i>            |               | 3,514,314       | 05/1970 | Nemeth             |       |          |                            |
| <i>GLH</i>            |               | 4,105,716       | 08/1978 | Sakai et al.       |       |          |                            |
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| Examiner Initial | Copy Enclosed |  |  |  | Class | SubClass | Translation |    |
|------------------|---------------|--|--|--|-------|----------|-------------|----|
|                  |               |  |  |  |       |          | Yes         | No |
|                  |               |  |  |  |       |          |             |    |
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| OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.) |               |  |
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| Examiner Initial   | Copy Enclosed | Document Description   |
| <i>GLH</i>   |               | Kondoh, M., "An Aerosol Lubricant", Japanese Patent Application No. 57-3892, 4 pgs. (Filed June 10, 1980; Published January 9, 1982) |
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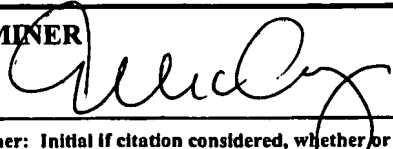
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| <b>EXAMINER</b><br><i>GLH</i>  | <b>Date Considered</b><br><i>4-30-07</i> |
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| Substitute for Form 1449/PTO<br><br><b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT BY APPLICANT</b> | <b>Complete if Known</b> |                   |
|  | Application Number       | 10/715,575        |
|  | Filing Date              | November 18, 2003 |
|  | First Named Inventor     | Minyu Li          |
|  | Art Unit                 | 3651              |
|  | Examiner Name            | unknown           |
|  | Attorney Docket No.      | 117-P-1380USC3    |

| U.S. PATENT DOCUMENTS |             |   |                                   |  |  |
|-----------------------|-------------|---|-----------------------------------|--|--|
| Examiner<br>Initials  | Item<br>No. | Document Number                             | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant Figures Appear |
|                       |             | Number-Kind Code                            |                                   |  |  |
| Gu                    | 23-H        | US-09/619,261<br>(Exhibit H to Item No. 23) | 07/19/2000                        |  |  |
|                       |             |   |                                   |  |  |

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|--------------------------|-------------|---|--------------------------------|---|---|---|
| Examiner<br>Initials     | Item<br>No. | Foreign Patent Document                     | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines, Where<br>Relevant Passages Or Relevant<br>Figures Appear | T |
|                          |             | Country Code/Number/Kind Code               |                                |   |   |   |
| Gu                       | 6-B         | WO 01/12759 A2<br>(Exhibit B to Item No. 6) | 02/22/2002                     | PCT   |   |   |
|                          | 16-P        | EP 99305796.7<br>(Exhibit P to Item No. 16) | Not yet<br>published           | EP  |   |   |
|                          |             |   |                                |   |   |   |

| NON PATENT LITERATURE DOCUMENTS |          |   |   |
|---------------------------------|----------|---|---|
| Examiner<br>Initials            | Item No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T |
| Gu                              | 1        | Docket Sheet for U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, October 25, 2004  |   |
|                                 | 2        | Docket Sheet for U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, October 25, 2004  |   |
|                                 | 3        | Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231 March 7, 2003  |   |
|                                 | 4        | Ecolab's Memorandum of Law in Support of Its Motion for a Preliminary Injunction, March 28, 2003  |   |
|                                 | 5        | Declaration of Tom Arata, March 28, 2003  |   |
|                                 | 6        | Declaration of David Cleveland, March 28, 2003  |   |

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| <b>EXAMINER</b><br>   | <b>Date Considered</b><br>4-30-07 |
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Substitute for Form 1449/PTO

**INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT**

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/715,575        |
| Filing Date          | November 18, 2003 |
| First Named Inventor | Minyu Li          |
| Art Unit             | 3651              |
| Examiner Name        | unknown           |
| Attorney Docket No.  | 117-P-1380USC3    |

|            |   |  |
|------------|---|--|
| <i>6-C</i> | Opinion Letter (Exhibit C to Item No. 6), March 25, 2003  |  |
| 6-C-B      | Ecolab Analytical & Physical Chemistry Analysis Report (Attachment B to Item No. 6-C), February 4, 2003   |  |
| 6-C-C      | Product Information Sheet for DOWANOL DPM (Attachment C to Item No. 6-C), August 2001   |  |
| 6-C-D      | Dicolube TPB (Johnson Diversey Product Information, Attachment D to Item No. 6-C), 2002 or 2003   |  |
| 6-C-E      | DICOLUBE TPB Material Safety Data Sheet (Attachment E to Item No. 6-C), June 20, 2002   |  |
| 6-C-F      | Lubricity Properties of DPM (Attachment F to Item No. 6-C), 2003  |  |
| 6-D        | Dicolube System Dicolube TPB (Exhibit D to Item No. 6), 2002 or 2003  |  |
| 7          | Declaration of Amy McBroom, March 28, 2003  |  |
| 7-A        | Product Information Sheet for DOWANOL DPM (Exhibit A to Item No. 7), August 2001  |  |
| 7-B        | Ecolab Analytical & Physical Chemistry Analysis Report (Exhibit B to Item No. 7), September 5, 2000   |  |
| 7-C        | Dicolube TPB (Johnson Diversey Product Information, Exhibit C to Item No. 7), 2002 or 2003  |  |
| 7-D        | Ecolab Analytical & Physical Chemistry Analysis Report (Exhibit D to Item No. 7), February 4, 2003  |  |
| 7-E        | DICOLUBE TPB Material Safety Data Sheet (Exhibit E to Item No. 7), June 20, 2002  |  |
| 7-F        | Lubricity Properties of DPM (Exhibit F to Item No. 7), 2003   |  |
| 8          | Declaration of Rachel Zimmerman, March 28, 2003   |  |
| 8-A        | JohnsonDiversey Form 8-K, March 25, 2003  |  |
| 9          | Answer and Counterclaim, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, April 8, 2003 |  |
| 10         | JohnsonDiversey's Memorandum of Law in Opposition to Ecolab's Motion for a Preliminary Injunction, April 25, 2003   |  |
| <i>11</i>  | Declaration of Tim A. Osswald, April 25, 2003   |  |

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| Application Number   | 10/715,575        |
| Filing Date          | November 18, 2003 |
| First Named Inventor | Minyu Li          |
| Art Unit             | 3651              |
| Examiner Name        | unknown           |
| Attorney Docket No.  | 117-P-1380USC3    |

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| 11-A | Curriculum Vitae, Tim Andreas Osswald (Exhibit A to Item No. 11), 2003  |  |
| 11-B | T. Osswald's Prior Testimony (Exhibit B to Item No. 11), 2002 or 2003   |  |
| 11-C | DOWANOL DPM (Exhibit C to Item No. 11), April 13, 2003  |  |
| 11-D | Dicolube TPB (Johnson Diversey Product Information, Exhibit D to Item No. 11), 2002 or 2003   |  |
| 11-E | DICOLUBE TPB Material Safety Data Sheet (Exhibit E to Item No. 11), June 20, 2002   |  |
| 12   | Declaration of Dr. Harriet Black Nemhard, April 25, 2003  |  |
| 12-A | Minitab Output of Descriptive Statistics and Confidence Intervals on COF Data for Water, 67 ppm DPM, and 133 ppm DPM (Exhibit A to Item No. 12), 2003 |  |
| 13   | Declaration of Jacques Rouillard, April 25, 2003  |  |
| 13-A | Product Information Sheet for DOWANOL DPM (Exhibit A to Item No. 13), August 2001   |  |
| 13-B | Report for Project A-258, M. Stanga, Diversey S.p.A. (Exhibit B to Item No. 13), December 1996  |  |
| 13-C | Report for Project A-260, M. Stanga, F. Bruschi, G. Bonaldi (Exhibit C to Item No. 13), September 1997  |  |
| 13-D | Revised List of Conveyor Lubricants Compatible with PET Containers (Exhibit D to Item No. 13), April 10, 1986   |  |
| 13-F | Testing Protocol (Exhibit F to Item No. 13), believed to be 2003  |  |
| 14   | Declaration of Keith W. Kennedy, April 24, 2003   |  |
| 14-D | Report for Project A-260, M. Stanga, F. Bruschi, G. Bonaldi, September 1997 (Exhibit D to Item No. 14)  |  |
| 14-E | Track Treatment Workshop, Alzey, Germany (Exhibit E to Item No. 14), March 31, 1998 – April 1, 1998   |  |
| 15   | Declaration of Michael K. Lammers, April 25, 2003   |  |
| 15-A | Dicolube TPB Sales (Exhibit A to Item No. 15), April, 2003  |  |
| 16   | Declaration of Christopher G. Hanewicz, April 25, 2003  |  |
| 16-A | Table of Anticipatory Prior Art (Appendix A to Item No. 16), 2003   |  |


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|  | First Named Inventor     | Minyu Li          |
|  | Art Unit                 | 3651              |
|  | Examiner Name            | unknown           |
|  | Attorney Docket No.      | 117-P-1380USC3    |

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| Glee | 16-B | Invalidity Analysis of Claims 4,7,9,14-19,24,27 and 30-32 (Appendix B to Item No. 16), 2003   |  |
|      | 17   | Ecolab's Reply Memorandum of Law in Support of Its Motion for a Preliminary Injunction, May 5, 2003   |  |
|      | 18   | Reply Declaration of Tom Arata, May 2, 2003   |  |
|      | 19   | Second Declaration of David R. Cleveland, May 4, 2003   |  |
|      | 20   | Reply Declaration of Thomas J. Hairston, Ph.D., May 2, 2003   |  |
|      | 20-A | Curriculum Vitae, Thomas J. Hairston, Ph.D. (Exhibit E to Item No. 20), 2003  |  |
|      | 21   | Reply Declaration of Amy McBroom, May 2, 2003   |  |
|      | 22   | Declaration of Mario Stanga, May 9, 2003  |  |
|      | 22-1 | Graph 1 BIS ((Exhibit 1 to Item No. 22), September 1997   |  |
|      | 23   | Declaration of Mark Kassel, May 15, 2003  |  |
|      | 23-A | Curriculum Vitae, Mark A. Kassel (Exhibit A to Item No. 23)   |  |
|      | 23-B | Claim Chart (Exhibit B to Item No. 23), 2003  |  |
|      | 23-E | 1520 Silicone Antifoam Brochure, <i>Dow Corning Webpage</i> (Exhibit E to Item No.23), May 2003   |  |
|      | 24   | Third Declaration of David R. Cleveland, May 23, 2003   |  |
|      | 25   | Memorandum, Opinion and Order, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, May 29, 2003            |  |
|      | 26   | Ecolab's Appeal Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, August 11, 2003              |  |
|      | 27   | JohnsonDiversey's Appeal Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, September 22, 2003 |  |
|      | 28   | Ecolab's Reply Brief, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, October 23, 2003             |  |
| Glee | 29   | Federal Circuit Opinion, U.S. Court of Appeals for the Federal Circuit, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No.: 0:03-cv-02231, April 6, 2004             |  |

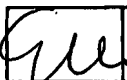


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| Art Unit             | 3651              |
| Examiner Name        | unknown           |
| Attorney Docket No.  | 117-P-1380USC3    |

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|  | 30 | Ecolab's Amended Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, June 10, 2004   |  |
|  | 31 | JohnsonDiversey's Answer and Counterclaim to Ecolab's Amended Complaint, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, July 2, 2004 |  |
|  | 32 | Ecolab's Reply to JohnsonDiversey's Counterclaim, U.S. District Court, District of Minnesota, <u>Ecolab, Inc. v. JohnsonDiversey, Inc.</u> , Case No. 0:03-cv-02231, August 11, 2004                     |  |
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| <b>INFORMATION<br/>DISCLOSURE<br/>STATEMENT</b> | Atty. Docket No.: 117-P-1380USC3 | Serial No.: 10/715,575 |
|   | Applicant(s): Minyu Li et al.    |                        |
|   | Filing Date: November 18, 2003   | Group: 3651            |

| U.S. PATENT DOCUMENTS |                  |                 |            |            |       |          |                               |
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| Examiner<br>Initial   | Copy<br>enclosed | Document Number | Date       | Name       | Class | SubClass | Filing Date if<br>Appropriate |
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| EXAMINER <i>Hei</i>   | Date Considered <i>4-30-07</i> |
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|  | First Named Inventor     | Minyu Li          |
|  | Art Unit                 | 3651              |
|  | Examiner Name            | Jerry D. Johnson  |
|  | Attorney Docket No.      | 117-P-1380USC3    |

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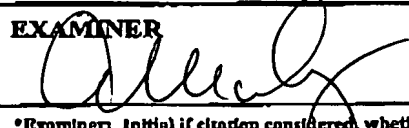
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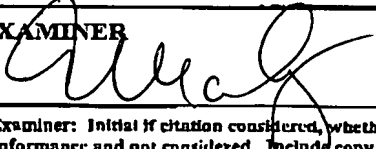
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